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23363 7590 08/11/2004

CHRISTIE, PARKER & HALE, LLP  
 PO BOX 7068  
 PASADENA, CA 91109-7068

10/21/2004 HDMESS2 00000014 09828304

01 FC:1501	1370.00 OP
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<b>Susanne C. Garcia</b>	(Depositor's name)
<i>Susanne C. Garcia</i>	
(Signature)	
October 18, 2004	
(Date)	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09828304	04/05/2001	Julia A. Kornfield	41727/JWP/C766	6585

TITLE OF INVENTION: POLYMERS FOR CONTROL OF ORIENTATION AND STABILITY OF LIQUID CRYSTALS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	<del>\$1370</del> \$1370	\$300	<del>\$1670</del> \$1670	11/12/2004
EXAMINER	ART UNIT		CLASS-SUBCLASS		
SADULA, JENNIFER R	1756		252-299010		

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Christie, Parker & Hale, LLP

2 \_\_\_\_\_

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**California Institute of Technology**

**Pasadena, California**

Please check the appropriate assignee category or categories (will not be printed on the patent);  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

- Issue Fee
- Publication Fee (No small entity discount permitted)
- Advance Order - # of Copies 10

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- a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

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(Authorized Signature) Susanne C. Garcia (Date) Oct 18, 2004

**October 18, 2004**

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